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To	Company	Fax Number	Phone Number
1 Office of Initial Patent Examination	USPTO- GAU 2113 10/571,048	571-273-8300	

Date	Our Reference Number
<b>October 5, 2006</b>	<b>FUJY 22.426 (100794-01059)</b>
From	Email Address
<b>Nathan Weber</b>	<b>Nathan.Weber@kattenlaw.com</b>
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**Total number of pages, including cover letter: 9****If you do not receive all of the pages, please call: (212) 940-6784**

Comments

Enclosed:

1 page – Request for Corrected Filing Receipt

3 pages – Copy of Filing Receipt

4 pages – Copy of Declaration filed March 3, 2006 in the USPTO

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Jancy Han

Attorney Docket No.: FUJY 22.426 (100794-01059)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor : Kazuki OGAWA et al.  
Serial No. : 10/571,048  
Filed : March 3, 2006  
Title : NETWORK SYSTEM BASED ON POLICY RULE  
Group Art Unit : 2113

October 5, 2006

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

SIR:

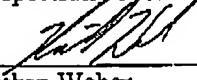
We received the filing receipt on the above-referenced case, copy enclosed, wherein the **First Applicant's Residence** was written as **Kanagawa, JAPAN**. Please change the same to read **Kawasaki, JAPAN** on the filing receipt. Also, please change the **Attorney Docket No.** to **FUJY 22.426** on the filing receipt.

We have attached herewith a copy of the Declaration, filed on March 3, 2006 with the application, wherein the correct residence of the first applicant is indicated.

**This was due to an error made by the USPTO.**

Any fee, due as a result of this paper may be charged on Deposit Account No. 50-1290.

Respectfully submitted,

  
Nathan Weber  
Reg. No. 50,958

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/571,048	03/03/2006	2113	1200	FUJY2242610079401059	17	14	4

CONFIRMATION NO. 1755

026304  
KATTEN MUCHIN ROSENMAN LLP  
575 MADISON AVENUE  
NEW YORK, NY 10022-2585

FILING RECEIPT

SEP 25

  
\*OC000000020497075\*

Date Mailed: 09/20/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kawasaki

Kazuki Ogawa, Kenegawa, JAPAN;  
Nobuhiro Kawamura, Kawasaki, JAPAN;  
Seiji Nomiyama, Fukuoka, JAPAN;  
Katsuichi Nakamura, Saga, JAPAN;  
Akira Imahase, Fukuoka, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 026304.

## Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/12726 10/03/2003

## Foreign Applications

If Required, Foreign Filing License Granted: 09/19/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/571,048**

Projected Publication Date: 12/28/2006

Non-Publication Request: No

Early Publication Request: No

**Title**

Network system based on policy rule

**RECEIVED  
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714

**OCT 05 2006****PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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## Declaration and Power of Attorney for Patent Application

## 特許出願宣言書及び委任状

## Japanese Language Declaration

## 日本語宣言書

私は、以下に記名された発明者として、ここに下記の通り宣言する：

As a below named inventor, I hereby declare that

私の住所、郵便の宛先そして国籍は、私の氏名の後に記載された通りである。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明について、特許請求範囲に記載され、且つ特許が求められている発明主題に関して、私は、最初、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初、最先且つ共同発明者である（複数の氏名が記載されている場合）と信じている。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

NETWORK SYSTEM BASED ON POLICY RULE

上記発明の明細書はここに添付されているが、下記の欄がチェックされている場合は、この限りでない：

the specification of which is attached hereto unless the following box is checked:

☐ \_\_\_\_\_ の日に出願され、  
この出願の米国出願番号またはPCT国際出願番号は、  
\_\_\_\_\_ であり、且つ  
\_\_\_\_\_ の日に補正された出願（該当する場合）

☐ was filed on \_\_\_\_\_  
as United States Application Number or  
PCT International Application Number  
\_\_\_\_\_ and was amended on  
\_\_\_\_\_ (if applicable).

私は、上記の補正書によって補正された、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに表明する。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編規則1.56に定められている、特許性について重要な情報を開示する義務があることを認める。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

# Japanese Language Declaration (日本語宣言書)

私は、ここに、以下に記載した外国での特許出願または発明者証の出願、或いは米国以外の少なくとも一國を指定している米国法典第35編第365条(a)によるPCT国際出願について、同第119条(a)-(d)項又は第365条(b)項に基づいて優先権を主張するとともに、優先権を主張する本出願の出願日より前の出願日を有する外国での特許出願または発明者証の出願、或いはPCT国際出願については、いかなる出願も、下記の枠内をチェックすることにより示した。

I hereby claim foreign priority under Title 35, United States Code, Section 119(a)-(d) or 365(b) of any foreign application(s) for patent, or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent, or inventor's certificate, or PCT International application having a filing date before that of the application for which priority is claimed.

Prior Foreign Application(s)

外国での先行出願

Priority Not Claimed

優先権主張なし

(Number)  
(番号)

(Country)  
(国名)

(Day/Month/Year Filed)  
(出願日/月/年)

☐

(Number)  
(番号)

(Country)  
(国名)

(Day/Month/Year Filed)  
(出願日/月/年)

☐

私は、ここに、下記のいかなる米国仮特許出願についても、その米国法典第35編第119条(e)項の利益を主張する。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

私は、ここに、下記のいかなる米国出願についても、その米国法典第35編第120条に基づく利益を主張し、又米国を指定するいかなるPCT国際出願についても、その同第365条(c)に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が、米国法典第35編第112条第1段に限定された態様で、先行する米国出願又はPCT国際出願に開示されていない場合においては、その先行出願の出願日と本国内出願日またはPCT国際出願日との間の期間中に入手された情報で、連邦規則法典第37編規則1.55に定義された特許性に関わる重要な情報について開示義務があることを承認する。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

PCT/JP2003/012728  
(Application No.)  
(出願番号)

October 3, 2003  
(Filing Date)  
(出願日)

Pending  
(Status: Patented, Pending, Abandoned)  
(現況 : 特許許可、係属中、放棄)

(Application No.)  
(出願番号)

(Filing Date)  
(出願日)

(Status: Patented, Pending, Abandoned)  
(現況 : 特許許可、係属中、放棄)

私は、ここに表明された私自身の知識に係わる陳述が真実であり、且つ情報と信ずることに基づく陳述が、真実であると信じられることを宣言し、さらに、故意に虚偽の陳述などを行った場合は、米国法典第78編第1001条に基づき、罰金または拘禁、若しくはその両方により処罰され、或たそのような故意による虚偽の陳述は、本出願またはそれに対して発行されるいかなる特許も、その有効性に問題が生ずることを理解した上で陳述が行われたことを、ここに宣言する。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that those statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japanese Language Declaration (日本語宣言書)	
<p>委任状: 私は本出願を審査する手続を行い、且つ米国特許商標庁との全ての業務を遂行するために、記名された発明者として、下記の弁理士及び/または弁理士を任命する。(氏名及び登録番号を記載すること)</p> <p>POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number). Registered Practitioners associated with Customer Number 26304</p>	
書類送付先	<p>Send Correspondence to: Customer Number 26304 Katten Muchin Rosenman LLP IP Department 575 Madison Avenue New York, NY 10022-2585</p>
直通電話連絡先: (氏名及び電話番号)	<p>Direct Telephone Calls to: (name and telephone number) Customer Number 26304 the responsible attorney, or to Samson Helfgott at (212) 940-8800</p>
唯一または第一発明者氏名	Full name of sole or first inventor Kazuki OGAWA
発明者の署名	Inventor's signature Kazuki Ogawa
日付	Date Feb. 10, 2006
住所	Residence Kawasaki, Japan
国籍	Citizenship Japanese
郵便の宛先	Post Office Address c/o FUJITSU LIMITED, 1-1, Kamikodanaka 4-chome, Nakahara-ku, Kawasaki-shi, Kanagawa 211-8588 Japan
第二共同発明者がいる場合、その氏名	Full name of second joint inventor, if any Nobuhiro KAWAMURA
第二共同発明者の署名	Second inventor's signature Nobuhiro Kawamura
日付	Date Feb. 10, 2006
住所	Residence Kawasaki, Japan
国籍	Citizenship Japanese
郵便の宛先	Post Office Address c/o FUJITSU LIMITED, 1-1, Kamikodanaka 4-chome, Nakahara-ku, Kawasaki-shi, Kanagawa 211-8588 Japan
<p>(第三以下の共同発明者についても同様に記載し、署名をすること) (Supply similar information and signature for third and subsequent joint inventors.)</p>	



第三共同発明者がある場合、その氏名		Full name of third joint inventor, if any Seiji NOMIYAMA	
第三共同発明者の署名	日付	Third inventor's signature 野見山 誠次	Date Feb. 14, 2006
住所		Residence Fukuoka, Japan	
国籍		Citizenship Japanese	
郵便の宛先		Post Office Address c/o Fujitsu Kyushu Network Technologies Limited, 2-1, Momochihama 2-chome, Sawara-ku, Fukuoka-shi, Fukuoka 814-8588 Japan	
第四共同発明者がある場合、その氏名		Full name of fourth joint inventor, if any Katsuichi NAKAMURA	
第四共同発明者の署名	日付	Fourth inventor's signature 中村 勝一	Date Feb. 13, 2006
住所		Residence Saga, Japan	
国籍		Citizenship Japanese	
郵便の宛先		Post Office Address 668-1, Kuranoemachi, Tosu-shi, Saga 841-0054 Japan	
第五共同発明者がある場合、その氏名		Full name of fifth joint inventor, if any Akira IMAHASE	
第五共同発明者の署名	日付	Fifth inventor's signature 今長 信明	Date Feb. 13, 2006
住所		Residence Fukuoka, Japan	
国籍		Citizenship Japanese	
郵便の宛先		Post Office Address c/o Fujitsu Kyushu Network Technologies Limited, 2-1, Momochihama 2-chome, Sawara-ku, Fukuoka-shi, Fukuoka 814-8588 Japan	
第六共同発明者がある場合、その氏名		Full name of sixth joint inventor, if any	
第六共同発明者の署名	日付	Sixth inventor's signature	Date
住所		Residence	
国籍		Citizenship	
郵便の宛先		Post Office Address	